

If an issue remains unresolved after discussing with your supervisor or local management, you may report a concern via Finning's Compliance Officer, or the ethics compliance hotline or website.

COMPLIANCE OFFICER

The Corporation's Compliance Officer is responsible for investigating and resolving all reported complaints and allegations concerning violations of the Code and, at the Compliance Officer's discretion, shall advise the President and CEO, the CFO and/or the Audit Committee. The Compliance Officer has direct access to the Audit Committee of the Board of Directors and is required to report to the committee quarterly on compliance activity. The Corporation's Compliance Officer is the Corporate Secretary, and can be contacted as outlined below:

Tel: 1-604-691-6250

Fax: 1-604-691-6254

Mail: 1000 – 666 Burrard Street, Vancouver, B.C. V6C 2X8, Canada

Email: ComplianceOfficer@finning.ca

ETHICS COMPLIANCE WEBSITE

Click on the following link to make a report online: [ethics website](#)

ETHICS COMPLIANCE HOTLINES

For calls in North America (U.S. & Canada) dial: (800) 491-2966

For calls in Argentina, Chile, Uruguay, Bolivia and U.K. dial:

1. From an outside line dial the country specific number below:

Country	Phone number	Response language
Argentina	0-800-444-8084	English
Chile	1230-020-5771	Spanish
Uruguay	000-413-598-3075	Spanish
Bolivia	Dial 800-101-110 At the prompt dial 800-491-2966	English
United Kingdom	08-000328483	English

- The call will be answered in English or Spanish as specified above. To continue your call in another language, please state your language to request an interpreter. It may take 1-3 minutes to arrange for an interpreter. During this time please do not hang up.
- Identify that you are calling from Finning.
- Make your report.

If you are unable to connect via the above instructions:

- Dial an international operator.
- Request a collect call (reverse charge) to be placed to 503-748-0666.
- If asked to give your name, please provide "EthicsPoint" as the name.
- The international operator will call the Finning report line. The call will be answered in English and the collect call will be accepted. When the international operator disconnects, the call center specialist will continue the call in English or if you would prefer Spanish, please state your language to request an interpreter. It may take 1-3 minutes to arrange for an interpreter. During this time, please do not hang up.
- The call center specialist will return with a Spanish speaking interpreter.
- Make your report.