

Finning (UK) Ltd Modern Slavery Transparency Statement

Finning (UK) Ltd. is the UK trading subsidiary of Finning International Inc. Our core business is the distribution and maintenance of Caterpillar machines, engines, equipment and power solutions in the UK. In addition to Caterpillar equipment, we also supply complementary products and services including technology services, fluid analysis, engineering, systems integration and project management. We operate across a number of different market sectors, including agricultural, construction, energy, marine, oil & gas, defence, quarrying, mining and waste. We employ approximately 1400 people in the UK and have an annual turnover of over £540m.

Our largest supplier is Caterpillar, who manufactures products in various locations globally. Outside of Caterpillar, our main suppliers provide goods and services, such as machine attachments, ancillary equipment, engineering services, parts logistics, office supplies, IT services and professional services.

To find out more about the nature of our business, please see our website: www.finning.com.

Our Commitment

We are committed to preventing acts of modern slavery and human trafficking from occurring within both our business and supply chain and we impose those same high standards on our suppliers.

“We are committed to maintaining rigorous standards of business conduct at Finning and ensuring the prevention of slavery and human trafficking within our business and supply chain is one part of this.”

Kevin Parkes, Managing Director

Our Actions

As part of our efforts to monitor and reduce the risk of slavery and human trafficking occurring within our supply chain, we have undertaken a risk review of our supply chain, assessing categories of supplier in terms of risk of slavery and human trafficking and importance to our business. We have then engaged, and continue to engage with suppliers in different ways depending on the level of risk. Examples of some of the measures we have taken are that: all suppliers registering with our online supplier portal are asked to provide confirmation of compliance with the Modern Slavery Act as part of the registration process; and we have reviewed our standard terms and conditions used for procurement activities to make compliance with the principles of the Modern Slavery Act mandatory.

Our Policies

As part of our wider commitment to promoting ethical business practices and employee welfare, we also have in place the following policies within our organisation:

a. Code of Conduct – this has been revised in 2018, and requires employees and suppliers to comply with the behaviours and actions as set out in the Code of Conduct including (i) honouring domestic and internationally accepted labour standards and recognising and supporting human rights, (ii) ethical decision making and (iii) provides a confidential Compliance Ethics Hotline for employees and suppliers to use to report any issues;

b. Whistleblower Policy – this requires all employees to act with honesty and integrity and makes it a responsibility of all employees to report any non-compliance; and

c. Policies relating to Employee Safeguarding and Wellbeing – these various policies provide, for example, that all employees have access to an Employee Assistance Programme, for a health and safety culture in the workplace and for protection from bullying and harassment.

We are not aware of any instances of modern slavery or human trafficking within our organisation or supply chain. We will continue to develop our policies, training and effectiveness, and our approach to supplier management specifically, in order to combat modern slavery and human trafficking.

This statement has been prepared and approved by Finning (UK) Ltd. in accordance with Section 54(1) of the Modern Slavery Act 2015 and relates to the financial year ending 31 December 2017.



Kevin Parkes

Managing Director

Finning (UK) Ltd.

29th June 2018